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**CHARACTERIZATIONS OF FINITE BOOLEAN LATTICES**
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**ABSTRACT**

This paper deals with characterizations of finite Boolean lattices. In this paper, we discuss some lemmas and some important theorems such as "Let  $L$  be a finite lattice if and only if each congruence relation  $\theta$  on  $L$  can be associated a congruence relation  $K$  on  $G_L$ , where  $xKy$  if and only if  $x\theta y$ , then  $L$  is a BOOLEAN lattice".

**Key Words:** Binary relation, HASSE diagram, sublattice, distributive lattice, congruence relation, finite Boolean lattice.

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**1. INTRODUCTION**

A binary, reflexive, symmetric and transitive relation  $K$  on an undirected graph  $G=(V,E)$  is called a congruence relation on  $G$ , if  $xKy$  only when  $\Gamma xK\Gamma y$ . The purpose of this brief paper is to show that a finite lattice is BOOLEAN iff each lattice congruence  $\theta$  on  $L$  is a congruence relation  $K$  on the HASSE diagram graph  $G_L$  of  $L$ .

The definitions of terms of graph and lattice theories not given here can be found in monographs [4] and [5] of ORE and SZA'SZ respectively, to which the reader is referred.

Suppose  $L$  is a finite BOOLEAN lattice and  $G_L=(V_L, E_L)$  the HASSE diagram graph associated with  $L$ . Consider  $G_L$  an undirected graph, where  $V_L=L$  and  $(a, b)\in E_L$  whenever,  $a$  covers  $b$  or  $b$  covers  $a$  in  $L$ ,  $a, b\in L$ .

As well known,  $G_L$  is a graph without loops, multiple edges and isolated vertices. If  $x\in V_L$ , then  $\Gamma x$  means the set of all vertices  $y$  for which  $(x,y)\in E_L$ . Suppose  $R$  is a binary, symmetric and reflexive relation on the vertex set  $V_L$ . ZELINKA [6] calls  $R$  a tolerance relation on  $G_L$ , if  $R$  satisfies the condition:  $xRy$  only if  $\Gamma xR\Gamma y$ . That is  $\exists, \forall u\in\Gamma x$ , an element  $z\in\Gamma y$  such that  $uRz$  and vice versa,  $\forall w\in\Gamma y$  there is an element  $t\in\Gamma x$  such that  $wRt$ . If  $K$  is a tolerance relation on  $G_L$  and if it is also transitive. That is  $xKw$  and  $wKz\Rightarrow xKz$ ,  $K$  is called a congruence relation on  $G_L$ . In [1] CHAJDA and

ZELINKA consider tolerance relations defined by means of meet and join operations on lattices and in [2] and [3] the reader can find some properties of congruence relations on graphs.

## 2. BASIC PART

In a finite BOOLEAN lattice  $L$  each congruence relation  $\theta$  on  $L$  is uniquely determined by its kernel  $I_\theta$ , where  $I_\theta = (a) = \{x : x \leq a, x \in L\}$  according to the finity of  $L$ . Thus  $x\theta y \Leftrightarrow x \vee a = y \vee a$ , hence each  $\theta$  on  $L$  is determined by a specified translation  $s_a(x) = a \vee x$  as follows:

$x\theta y$  iff  $s_a(x) = s_a(y)$ . Conversely one can easily shown that, each translation  $\varphi$  on  $L$  is determined by a congruence relation  $\theta$  the kernel of which is  $(b)$  whenever  $dJ_\varphi = (b)$ . Thus we can write

**Lemma 2.1:** In a finite BOOLEAN lattice  $L$  each congruence relation  $\theta$ ,  $I_\theta = (a)$ , is determined by the translation  $s_a: x\theta y$  iff  $s_a(x) = s_a(y)$ , and conversely, each translation  $\varphi$  on  $L$ ,  $dJ_\varphi = (b)$  is determined by the congruence relation  $\theta: I_\theta = (b)$ ,  $\varphi(x) = x \vee b$  and  $\varphi(x) = \varphi(y)$  iff  $x\theta y$ .

**Lemma 2.2:** Suppose  $L$  is a finite lattice and  $\varphi$  a translation on  $L$ . Then  $\varphi$  determines a congruence relation  $K$  on  $G_L$  as follows:  $xKy$  iff  $\varphi(x) = \varphi(y)$ .

**Proof:** The relation  $K$  is evidently reflexive, symmetric and transitive. So it remains to show that  $xKy$  only when  $\Gamma x K \Gamma y$ . Assume  $x \neq y$ ; the case  $x = y$  is trivial.

Suppose  $z \in \Gamma x$  and assume  $z$  covers  $x$  i.e.;  $z > x$ . Then  $\varphi(z) \geq \varphi(x)$  and thus we have two case to consider: (i)  $\varphi(x) = \varphi(z)$  and (ii)  $\varphi(z) > \varphi(x)$ . The case  $x > z$  can be proved analogously.

(i)  $\varphi(z) = \varphi(x) = \varphi(y)$ ,  $\varphi(x \vee y) = \varphi(x) \vee \varphi(y) = \varphi(y)$  and suppose that  $x \vee y > y$ . Thus  $\forall w \in [y, x \vee y] = \{v: y \leq v \leq x \vee y, v \in L\}$ ,  $\varphi(w) = \varphi(y)$ . Since  $L$  is finite, there is also an element  $w \in [y, x \vee y]$  such that  $w > y$ , and so  $w \in \Gamma y$  such that  $zKw$ . If  $y = x \vee y$ , then  $x < y$  and  $w \in [x, y]$ ,  $w < y$  such that  $\varphi(w) = \varphi(y) = \varphi(z)$ . Thus  $wKz$ . The part vice versa can be proved similarly.

(ii)  $\varphi(z) > \varphi(x)$ . Now,  $\varphi(x) \vee z > \varphi(x)$ , as in the other case  $z \leq \varphi(x)$ , from which it follows the contradiction:  $\varphi(z) \leq \varphi(\varphi(x)) = \varphi(x)$ . Since  $L$  is distributive and  $z > x$ ,  $\varphi(x) \vee z > \varphi(x)$ . On the other hand,  $\varphi(\varphi(x) \vee z) = \varphi(x) \vee \varphi(z) = \varphi(z) = \varphi(x \vee z)$  and thus  $\varphi(z) > \varphi(x)$ . Now, we must show that there is an element  $w > y$  in  $L$  such that  $\varphi(w) = \varphi(z)$ . If  $y = \varphi(x)$ , the case is trivial:  $w = \varphi(z)$ . Therefore we assume that  $y \neq \varphi(x)$ , hence  $\varphi(x) \in [y, \varphi(z)]$ . The convex sublattice  $[y, \varphi(z)]$  of  $L$  is complemented and hence there is an element  $u \in [y, \varphi(z)]$  such that  $u \vee \varphi(x) = \varphi(z)$  and  $u \wedge \varphi(x) = y$ . Since  $\varphi(z) > \varphi(x)$  and  $[y, \varphi(z)]$  is distributive  $u > y$  and since  $u \in [y, \varphi(z)]$ ,  $u \leq \varphi(u) \leq \varphi(z)$ . Suppose  $\varphi(u) < \varphi(z)$ . From  $u \wedge \varphi(x) = y$  we have  $\varphi(u \wedge \varphi(x)) = \varphi(y) = \varphi(u) \wedge \varphi(x)$  and hence  $\varphi(u) \geq \varphi(y)$ . Now,  $\varphi(z) > \varphi(y)$  and  $\varphi(z) > \varphi(u) \geq \varphi(x) = \varphi(y)$ ; consequently  $\varphi(u) = \varphi(y)$ . Then  $\varphi(u \vee \varphi(x)) = \varphi(u) \vee \varphi(x) = \varphi(x) \neq \varphi(z)$ , which is a contradiction. Therefore  $\varphi(u) = \varphi(z)$ .

So, if  $xKy$  then  $\forall z \in \Gamma x$  there is an element  $u \in \Gamma y$  such that  $zKu$ . The vice versa part can be prove similarly. Thus the proof is complete.

**Lemma 2.3:** Let  $L$  be a finite lattice. If each congruence relation  $\theta$  on  $L$  can be associated a congruence relation  $K$  on  $G_L$ , where  $xKy$  iff  $x\theta y$ , then  $L$  is a BOOLEAN lattice.

**Proof:** First we show that  $L$  must be distributive where after the complementedness of  $L$  can be proved.

Consider the lattices  $L_1$  and  $L_2$  of Fig. 1. In the lattice  $L_1$ ,  $\{b, 0\}$ ,  $\{a, 1\}$  and  $\{c\}$  are congruence classes module  $\theta_{a1}$ . Thus if  $bK0$ , the relation  $\Gamma b K \Gamma 0$  does not hold, since there is no  $u \in \Gamma b$  such that  $u\theta_{a1}c$ ,  $c \in \Gamma 0$ . Furthermore, in the lattice  $L_2$ ,  $\{a, 1\}$ ,  $\{b\}$  and  $\{c, 0\}$  are the congruence classes module  $\theta_{a1}$ . When  $0Kc$ , the relation  $\Gamma 0 K \Gamma c$  does not hold since  $b \in \Gamma 0$  and there are no element  $u \in \Gamma c$  such that  $uKb$ . Thus  $L$  can not contain  $L_1$  or  $L_2$  as a sublattice from which the distributivity of  $L$  follows:

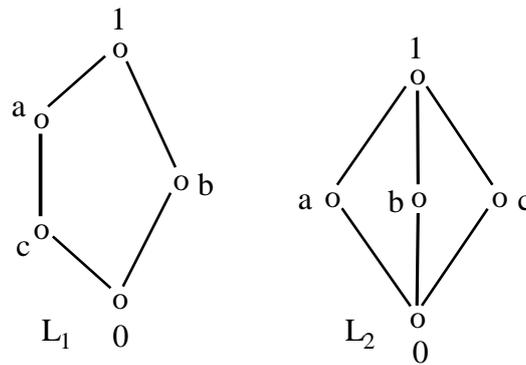


Fig. 2.1

In a distributive lattice  $L$  each ideal  $(a)$  determines a congruence relation  $\theta[(a)]$ , where  $0 \in L$ ,  $i\theta[(a)] 0$  iff  $i \in (a)$ . In particular,  $\forall$  dual atom  $z \in L$ , i.e.,  $z < 1$ , there is at least one element  $k \in L$  such that  $k > 0$ ,  $k \notin (z)$ . Indeed, in all other cases all the elements in  $\Gamma 0 \in (z)$  and since  $1 \in \Gamma z$  and  $1\theta[(z)]z$ , the relation  $yKx$  iff  $x\theta y$  would not be a congruence relation on  $G_L$ . Thus for each dual atom  $z$  of  $L$ ,  $k$  is the complement of  $z$  in  $L$ .

The complement is unique, since  $L$  is distributive. We can show as above that, if  $w < z < 1$ , there is an element  $k > 0$  such that  $k \notin (w)$  and  $k \wedge w = 0$ . Since  $k$  is the unique complement of an element  $y < 1$ ,  $w \vee k = r < 1$ . If  $r'$  denotes the complement of  $r$  in  $L$ ,  $w \wedge r' = (r \wedge w) \wedge r' = 0$  and so  $(k \vee r')$  is the complement of  $w$  in  $L$ . It is unique according to the distributivity of  $L$ . Since  $L$  is finite, we can construct by this process a complement for each element of  $L$ . Thus the proof is complete.

By combining the results of Lemmas 1, 2 and 3 we have our characterization.

**Theorem 2.1:** A finite lattice  $L$  is a BOOLEAN lattice iff for each congruence relation  $\theta$  on  $L$  the relation  $K, xKy \Leftrightarrow x\theta y$ , is a congruence relation on the graph  $G_L$ .

We shall finally make some remarks on the congruence relations  $K$  on the graph  $G_L$  when  $L$  is a finite BOOLEAN lattice. As well known, the congruence relations  $K$  on  $G_L$  form a lattice  $K(G_L)$  w.r.to the meet and join operations defined as follows: If  $K, H \in K(G_L)$ , then  $x(K \wedge H)y$  iff  $xKy$  and  $xHy$  and  $x(K \vee H)y$  iff there is in  $V_L$  a sequence  $u_1, u_2, u_3, \dots, u_n$  of elements,  $x = u_1$  and  $y = u_n$  such that for each value of  $i$  at least one of the relations  $u_i H u_{i+1}$ ,  $u_i K u_{i+1}$  holds,  $i = 1, 2, \dots, n-1$ .

The lattice  $K(G_L)$  need not be even modular one can see by means of the lattice  $L$  of Fig. 2. The only non-trivial lattice congruence on  $L$  are  $\theta_{a0}$  and  $\theta_{b0}$ . The congruence relations  $K$  on  $G_L$  that are not simultaneously lattice congruence on  $L$  are  $K_1, K_2$  and  $K_3$ . The classes modulo  $K_1$  are  $\{1\}$  and  $\{0\}$ . Those modulo  $K_2$ :  $\{1, 0\}$  and  $\{a, b\}$  and those modulo  $K_3$ :  $\{1, 0\}$ ,  $\{a\}$  and  $\{b\}$ . The lattice  $K(G_L)$  is given in Fig. 2.

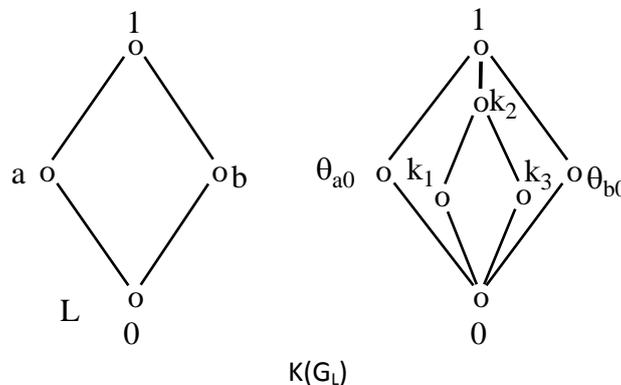


Fig. 2.2

According to the definitions of join and meet operations in  $K(G_L)$  and in the lattice  $\theta(L)$  of all lattice congruences on  $L$ , we can write

**3. CONCLUSION**

If  $L$  is a finite BOOLEAN lattice,  $\theta(L)$  is a BOOLEAN sublattice of the lattice  $K(G_L)$ .

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